Altered Expression of PTEN and Its Major Regulator MicroRNA–21 in Pulmonary Neuroendocrine Tumors

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Mee Sook Roh, M.D. Department of Pathology, Dong-A University College of Medicine, 26 Daesingongwon-ro, Seo-gu, Busan 602-715, Korea Tel: +82-51-240-2833 Fax: +82-51-243-7396 E-mail: msroh@dau.ac.kr **Background:** Phosphatase and tensin homolog on chromosome ten (PTEN) is one of the most frequently inactivated tumor suppressors in various tumor types. MicroRNA-21 (miR-21) may affect tumor progression by post-transcriptional repression of expression of tumor suppressors, such as PTEN. This study was conducted to evaluate the significance of PTEN expression in pulmonary neuroendocrine (NE) tumors and to analyze the relationship between PTEN and miR-21 expressions. **Methods:** Expressions of PTEN and miR-21 were investigated by immunohistochemistry and real time reverse transcription-polymerase chain reaction, respectively, in 75 resected pulmonary NE tumors (23 typical carcinoids [TCs], nine atypical carcinoids [ACs], 22 large cell NE carcinomas [LCNECs], and 21 small cell lung carcinomas [SCLCs]). **Results:** Loss of PTEN expression was observed in four of 23 TCs (17.4%), four of nine ACs (44.4%), 16 of 22 LCNECs (72.7%) and nine of 21 SCLCs (42.9%) (p=.025). The expression level of miR-21 was significantly higher in high-grade NE carcinomas than in carcinoid tumors (p<.001). **PTEN** expression was inversely correlated with miR-21 expression (p<.001). **Conclusions:** This study suggests that aberrant expression of PTEN in relation to miR-21 may represent an important step in the development and progression of pulmonary NE tumors.

Key Words: Lung; Neuroendocrine tumors; PTEN; MicroRNA-21, human

Phosphatase and tensin homolog on chromosome ten (PTEN) is a regulator of signaling and plays a crucial role in inducing apoptosis and regulating cell adhesion, proliferation, migration, and angiogenesis through interference with the phosphoinositide-3 kinase (PI3K) signaling pathway.¹⁻³ It is known that loss of PTEN expression plays an important role in tumorigenesis and is associated with lymph node metastasis, tumor grade, and stage in several different human malignancies including lung cancer.⁴⁻⁹ Several mechanisms such as genetic mutation, promoter methylation, and post-transcriptional modification, may contribute to *PTEN* inactivation.¹⁰ Although mutation of the *PTEN* gene is rare in non-small cell lung cancer (NSCLC),¹¹ the lack of PTEN protein is a common event in NSCLC, which indicates that epigenetic mechanisms such as post-transcriptional modification by microRNA (miRNA) may participate in *PTEN* inactivation.

MiRNA negatively regulates gene expression by binding 3'-untranslated regions (UTRs) of transcripts, leading to mRNA degradation or inhibition of translation into protein.¹² Several researchers have reported that miRNA-21 (miR-21), the most

commonly overexpressed oncogenic miRNA among human cancers, increases tumor cell proliferation, migration, invasion, and metastasis through several target proteins such as PTEN,^{8,13-}¹⁵ reversion-inducing cystein-rich protein (RECK),¹⁶ and programmed cell death 4 (PDCD4).¹⁷ It has been shown that PTEN-3'-UTR reporter was significantly increased in A549 lung cancer cells transfected with miR-21 inhibitor compared with negative control.¹⁸

Pulmonary neuroendocrine (NE) tumors are traditionally subdivided into four histologic subtypes with different biological behavior, including low-grade typical carcinoid (TC), intermediate-grade atypical carcinoid (AC), and high-grade large cell NE carcinoma (LCNEC), and small cell lung carcinoma (SCLC).¹⁹ Recent data, however, suggest that two categories can be distinguished on the basis of molecular and clinical data, i.e., carcinoid tumors (TC and AC) and high-grade NE carcinomas (LCNEC and SCLC).²⁰ With respect to the difference in biological behavior and tumorigenesis, further reliable biomarkers must be established when comparing such various grades of pulmonary

NE tumors.

Our previous study found that the expression level of miR-21 was significantly higher in high-grade NE carcinomas than in carcinoid tumors.²¹ However, current literature on PTEN expression in pulmonary NE tumors is limited, with no report discussing the regulation of PTEN expression by miR-21 expression in the progression of these tumors. The present study was conducted to evaluate the significance of PTEN expression in association with histologic subtypes of pulmonary NE tumors and to analyze the relationship between PTEN and miR-21 expression levels.

MATERIALS AND METHODS

Case selection

We evaluated a total of 75 cases that had the histologic diagnosis of primary pulmonary NE tumor. The tissues were obtained from patients who underwent surgery between 2003 and 2012 at three university hospitals, including Samsung Changwon Hospital, Gachon University Gil Medical Center, and Dong-A University Medical Center. For an accurate histologic diagnosis of pulmonary NE tumors, hamatoxylin and eosinstained slides from each case were reviewed by two experienced pulmonary pathologists (S.Y.H and M.S.R) based on the revised World Health Organization (WHO) classification in 2004,19 and only unequivocal cases were used. To demonstrate the NE phenotype, immunohistochemical staining for general NE markers, such as chromogranin-A, synaptophysin, and CD56 was performed, if necessary. The tumors were diagnosed as 23 TCs, nine ACs, 22 LCNECs, and 21 SCLCs. The Institutional Review Board of each institute approved our study and written informed consent was obtained from all the patients before surgery to permit the use of their resected samples for research.

Immunohistochemical analysis of PTEN expression

Immunohistochemical staining for PTEN was performed on formalin-fixed, paraffin-embedded (FFPE), 4 µm-thick tissue microarray (TMA) sections using the avidin-biotin-peroxidase complex method. For all TMAs, three cores of different areas from the representative tumor were removed from each case, and these were put in a new blank recipient paraffin block according to a previously described method.²² All sections were deparaffinized in a series of xylene baths, and rehydration was performed with a series of graded alcohol solutions. To enhance immunoreactivity, microwave antigen retrieval was performed at 750 W for 30 minutes in Tris-ethylenediaminetetraacetic acid buffer (pH 9.0). After blocking endogenous peroxidase activity with 5% hydrogen peroxidase for 10 minutes, the sections were incubated for one hour at room temperature with a mouse monoclonal antibody against PTEN (1:50, 6H2.1, Millipore, Billerica, MA, USA). An Envision Chem Detection Kit (Dako-Cytomation, Carpinteria, CA, USA) was used for the secondary antibody at room temperature for 30 minutes. After washing the tissue samples in Tris buffered saline for 10 minutes, 3,3'-diaminobenzidine was used as a chromogen, and Mayer's hematoxylin counterstain was then applied. As positive control, normal breast tissue with previously confirmed PTEN expression, was used in all TMAs. Negative control was obtained by substituting primary antibody with buffer.

The percentage and intensity of immunoreactive tumor cells in each core were recorded, and the final value of the positive tumor cells was determined as the mean immunoreactivity of the three cores. PTEN immunoreactivity was defined as those showing a cytoplasmic with/without nuclear staining pattern of the tumor tissue with minimal staining of the background. Grading of PTEN immunoreactivity was based on the distribution and intensity of staining according to a previously described method.23,24 Distribution was scored as 0 (<5%), 1 (5-40%), 2 (41-70%), or 3 (> 70%) to indicate the percentage of positive cells of interest. The intensity of the signal was scored as 0 (no signal), 1 (weak expression), 2 (moderate expression), or 3 (strong expression). The tumors with a multiplied score exceeding 6 were recorded as having positive immunoreactivity for PTEN; all other scores were considered to have loss of PTEN expression. The immunostained sections were assessed by two independent pathologists (H.W.L and M.S.R) without any knowledge of pathological information on the cases, and any discrepancies were resolved by consensus.

Real time reverse transcription-polymerase chain reaction for miR-21 expression

The detailed real time reverse transcription-polymerase chain reaction (RT-PCR) for miR-21 expression was previously described.²¹ Briefly, total RNA was extracted from the representative FFPE tissue sections using the Ambion RecoverAll Total Nucleic Acid Isolation Kit (Applied Biosystems, Foster City, CA, USA). The quality and quantity of the isolated total RNA were measured using a NanoDrop ND-1000 spectrophotometer (Thermo Fisher Scientific, Rockford, IL, USA). The real time RT-PCR for miR-21 expression was analyzed using the TaqMan miRNA RT kit with specifically designed stem-loop RT primers for miR-21 according to the manufacturer's instructions and ABI PRISM 7000 Sequence Detection System (Applied Biosystems). We also quantified the transcripts of U6 small nuclear RNA (U6) as an endogenous control for normalizing the levels of miR-21. All experiments were performed in duplicate to confirm reproducibility. Following amplification, the mean threshold cycle (Ct) values of each sample were determined from duplicate reactions and then normalized against the corresponding U6 Ct values, which were calculated as delta Ct (Δ Ct = Ct_{miR-21}-Ct_{U6}). All data presented were normalized to Ct (Δ Ct) values.

Statistical analysis

Statistical analysis was performed using SPSS ver. 18.0 (SPSS Inc., Chicago, IL, USA). The correlation between PTEN expression and histologic subtypes of pulmonary NE tumors were analyzed using a contingency table and Bonferroni correction chisquare or Fisher's exact test. One way analysis of variance with a *post hoc* of Scheffe test was used to determine the association between the expression levels of miR-21 and histologic subtypes of pulmonary NE tumors or PTEN expression. A p<.05 was considered statistically significant.

RESULTS

Differential expression of PTEN according to the histologic subtypes of pulmonary NE tumors

PTEN immunoreactivity was observed both in the cytoplasm and nuclei, where cytoplasmic staining was predominant in most cases. All cores for each tumor demonstrated similar staining characteristics. Loss of PTEN expression was observed in four of 23 TCs (17.4%), four of nine ACs (44.4%), 16 of 22 LCNECs (72.7%), and nine of 21 SCLCs (42.9%) (p=.025) (Fig. 1). The frequency of PTEN expression was clearly different between the histologic subtypes of pulmonary NE tumors (p=.025) (Table 1). In pairwise comparison, the frequency of



Fig. 1. Representative histologic features and immunohistochemical findings for phosphatase and tensin homolog on chromosome ten (PTEN) of typical carcinoid (TC) and large cell neuroendocrine carcinoma (LCNEC). A TC (A) shows positive expression of PTEN (B), whereas a LCNEC (C) shows loss of PTEN expression (D).

Histologic subtype	Loss of PTEN expression (%)	p-value	Normalized Ct value of miR-21 (mean ± standard deviation)	p-value	
TC (n=23)	4 (17.4)	.025	-4.13±1.67	<.001	
AC (n=9)	4 (44.4)		-4.66 ± 1.39		
LCNEC (n=22)	16 (72.7)		-6.35 ± 1.30		
SCLC (n=21)	9 (42.9)		-6.12 ± 1.27		

Table 1. Differential expressions of PTEN and miR-21 according to the histologic subtypes of 75 pulmonary neuroendocrine tumors

PTEN, phosphatase and tensin homolog on chromosome ten; miR-21, microRNA-21; TC, typical carcinoid; AC, atypical carcinoid; LCNEC, large cell neuroendocrine carcinoma; SCLC, small cell lung carcinoma.



Fig. 2. Comparison of the frequency of phosphatase and tensin homolog on chromosome ten (PTEN) expression with histologic subtypes of 75 pulmonary neuroendocrine (NE) tumors. The frequency of loss of PTEN expression was different between the histologic subtypes of pulmonary NE tumors (p = .025). In pairwise comparison, the frequency of loss of PTEN expression was significantly different between typical carcinoids (TCs) and large cell neuroendocrine carcinomas (LCNECs) (p < .001). AC, atypical carcinoid; SCLC, small cell lung carcinoma.

loss of PTEN expression was significantly different between TC and LCNEC (p < .001). Although the p-value did not reach statistical significance, the frequency of loss of PTEN expression tended to be higher in AC than in TC (p = .076), in SCLC than in TC (p = .078), and in LCNEC than in AC (p = .085). In particular, the difference in loss of PTEN expression between SCLC and LCNEC was marginally significant (p = .056). However, there was no significant difference between AC and SCLC (p = .936) (Fig. 2). Although the frequency of loss of PTEN expression was significantly higher in high-grade NE carcinomas than in carcinoid tumors (p = .005), it was significantly higher in LCNEC than in carcinoid tumors (p < .001), whereas there was no significant difference between carcinoid tumors and SCLC (p = .193).



Fig. 3. Comparison of mean normalized Ct (Δ Ct) values of microR-NA-21 (miR-21) with histologic subtypes of 75 pulmonary neuroendocrine (NE) tumors. The expression level of miR-21 was clearly different between the histologic subtypes of pulmonary NE tumors (p < .001). In pairwise comparison, the expression level of miR-21 was significantly higher in large cell neuroendocrine carcinoma (LCNEC) than in typical carcinoid (TC) (p < .001), in small cell lung carcinoma (SCLC) than in TC (p < .001), and in LCNEC than in atypical carcinoid (AC) (p = .037).

Differential expression of miR-21 according to the histologic subtypes of pulmonary NE tumors

MiR-21 was consistently overexpressed in all subtypes of pulmonary NE tumors. The mean Δ Ct values±standard deviation (SD) for miR-21 expression were -4.13 ± 1.67 in TC, -4.66 ± 1.39 in AC, -6.35 ± 1.30 in LCNEC, and -6.12 ± 1.27 in SCLC. The expression level of miR-21 was clearly different between the histologic subtypes of pulmonary NE tumors (p<.001) (Table 1). In pairwise comparison, the expression level of miR-21 was significantly higher in high-grade NE carcinomas than in carcinoid tumors (p<.001). Furthermore, the expression level of miR-21 was significantly higher in LCNEC than in TC (p<.001), in SCLC than in TC (p<.001), and in LCNEC than in AC (p=.037). However, no difference was found between TC and AC (p=.824) and between LCNEC and SCLC (p=.965) (Fig. 3). All these findings were similar to our previous study performed on a smaller number of specimens,²¹ except for no

values of miR-21 in 75 pulmonary neuroendocrine tumors						
Histologic	Normalized Ct (mean±stand	n valuo				
subtype	Loss of PTEN expression	Positive PTEN expression	p-value			
Total (n = 75)	-6.11±1.52	-4.81 ± 1.62	<.001			
TC (n = 23)	-4.53 ± 0.14	-3.36 ± 1.73	.014			

 -4.47 ± 1.55

 -5.48 ± 1.22

-5.86±1.22

.487

.005

.086

 Table 2. Correlation between PTEN expression and normalized Ct values of miR-21 in 75 pulmonary neuroendocrine tumors

PTEN, phosphatase and tensin homolog on chromosome ten; miR-21, microRNA-21; TC, typical carcinoid; AC, atypical carcinoid; LCNEC, large cell neuroendocrine carcinoma; SCLC, small cell lung carcinoma.

 -5.32 ± 0.13

 -7.16 ± 0.89

 -6.97 ± 1.14

AC (n=9)

LCNEC (n = 22)

SCLC (n=21)

significant difference between AC and SCLC (p = .069 in present study vs p = .044 in previous study).

Correlation between PTEN and miR-21 expressions in pulmonary NE tumors

The mean Δ Ct values for miR-21 expression was higher in tumors with loss of PTEN expression (mean ± SD, -6.11 ± 1.52) than that in tumors with positive PTEN expression (mean ± SD, -4.81 ± 1.62). PTEN expression showed an inverse correlation with miR-21 expression in pulmonary NE tumor tissues (p < .001). According to histologic subtypes, a statistically significant inverse correlation was observed between miR-21 and PTEN protein in TC (p=.014) and LCNEC (p=.005), whereas no similar correlation was found in either AC (p=.487) or SCLC (p=.086) (Table 2).

DISCUSSION

To the best of our knowledge, this work represents the first study to investigate the differential expression of PTEN in relation to miR-21 expression in pulmonary NE tumors. The results of our study suggest that the expression levels of PTEN and miR-21 were different depending on the histologic subtypes of pulmonary NE tumors. Furthermore, PTEN expression had an inverse correlation with miR-21 expression in pulmonary NE tumor tissues.

PTEN is one of the most frequently inactivated tumor suppressors in different tumor types. Loss of PTEN expression is associated with lymph node metastasis, tumor grade, and stage in several different human malignancies.⁴⁻⁹ However, current literature on PTEN expression in pulmonary NE tumors is limited, and the immunohistochemical expression patterns of PTEN in NE tumors of other organs have been reported in only a few studies.²³ Loss of PTEN expression was significantly more frequent in patients with advanced WHO grade and in patients with higher Ki-67 index in pancreatic NE tumors.²³ In our study, the frequency of loss of PTEN expression was clearly different between the histologic subtypes of pulmonary NE tumors. Interestingly, although the p-value did not reach statistical significance, the frequency of loss of PTEN expression tended to be higher in LCNEC than in SCLC (p=.056).

miR-21 is one of the most prominent miRNAs implicated in many human cancers including the lung and has been associated with poor survival and poor therapeutic outcome.^{8,13-17,25,26} Xiong *et al.*²⁷ suggested that higher expression levels of miR-21 in adenomas and carcinomas relative to normal surrounding colonic tissue represents an early cellular event in the progression to cancer. The present findings and our previous results²¹ show that miR-21 is consistently overexpressed in all subtypes of pulmonary NE tumors. Furthermore, the expression level of miR-21 was significantly higher in high-grade NE carcinomas than in carcinoid tumors. These findings suggest that altered expression of miR-21 may be an early event underlying early tumorigenesis of pulmonary NE tumors and could be pivotal in progressing to a complete malignant phenotype.

It has been demonstrated that miRNA can induce tumorigenesis, for example, by downregulating tumor suppressor genes.13-17,28 It has been reported that PTEN, an important tumor suppressor, is regulated by multiple miRNAs including miR-21 in various cancers.^{8,13-15,27,29} Zhou et al.²⁹ reported that miR-21 overexpression drastically inhibited PTEN protein expression and that silencing of miR-21 increased the level of PTEN protein in cancer stem-like cells in hepatocellular carcinoma. They also found that migration and invasiveness of these cells weakened by anti-miR-21 was rescued by knockdown of PTEN, PDCD4, or RECK. miR-21 inhibitor transfection increased the luciferase-reporter activity of the PTEN-3'-UTR construct and increased PTEN protein levels but not PTEN mRNA levels in NSCLC cell lines.8 In our present study, we also found an inverse correlation between miR-21 and PTEN expressions in pulmonary NE tumor tissues. In this context, PTEN may be the target of miR-21, and inactivating PTEN in relation to miR-21 upregulation might play an important role in the development of pulmonary NE tumors. However, miR-21 and PTEN expressions demonstrated no negative correlation, especially in SCLC, in contrast to LCNEC. These findings further suggest that miR-21, along with its different mechanisms and targets and a few associated genes, forms complex regulatory networks and mechanisms that play an important

role in mediating SCLC formation, growth, and progression. Further functional analysis of other target molecules regulated by miR-21 could be of help to clarify the different biological characteristics of LCNECs and SCLCs.

In conclusion, this study suggests that aberrant expression of PTEN and miR-21 may represent an important step in the development and progression of pulmonary NE tumors. Moreover, differential expression of PTEN and miR-21 according to histological subtypes of pulmonary NE tumors can be used as an adjunctive diagnostic tool or biomarker. However, further studies with a large number of cases, especially ones including more ACs, are needed to validate our findings. An understanding of the molecular mechanisms affecting PTEN and miR-21 expression in relation to the PI3K-AKT-mTOR signaling pathway will also be essential in designing a new alternative therapeutic target for pulmonary NE tumors.

Conflicts of Interest

No potential conflict of interest relevant to this article was reported.

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