# Analysis of Histologic Features Suspecting Anaplastic Lymphoma Kinase (ALK)-Expressing Pulmonary Adenocarcinoma

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**Received:** March 3, 2015 **Revised:** May 10, 2015 **Accepted:** May 12, 2015

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Joungho Han, MD, PhD Department of Pathology, Samsung Medical Center, Sungkyunkwan University School of Medicine, 81 Irwon-ro, Gangnam-gu, Seoul 135-710, Korea Tel: +82-2-3410-2800 Fax: +82-2-3410-0025 E-mail: hanjho@skku.edu Background: Since 2007 when anaplastic lymphoma kinase (ALK) rearrangements were discovered in non-small cell lung cancer, the ALK gene has received attention due to ALK-targeted therapy, and a notable treatment advantage has been observed in patients harboring the EML4/ ALK translocation. However, using ALK-fluorescence in situ hybridization (FISH) as the standard method has demerits such as high cost, a time-consuming process, dependency on interpretation skill, and tissue preparation. We analyzed the histologic findings which could complement the limitation of ALK-FISH test for pulmonary adenocarcinoma. Methods: Two hundred five cases of ALK-positive and 101 of ALK-negative pulmonary adenocarcinoma from January 2007 to May 2013 were enrolled in this study. The histologic findings and ALK immunohistochemistry results were reviewed and compared with the results of ALK-FISH and EGFR/KRAS mutation status. Results: Acinar, cribriform, and solid growth patterns, extracellular and intracellular mucin production, and presence of signet-ring-cell element, and psammoma body were significantly more often present in ALK-positive cancer. In addition, the presence of goblet cell-like cells and presence of nuclear inclusion and groove resembling papillary thyroid carcinoma were common in the ALK-positive group. Conclusions: The above histologic parameters can be helpful in predicting ALK rearranged pulmonary adenocarcinoma, leading to rapid FISH analysis and timely treatment.

Key Words: Lung; Adenocarcinoma; Anaplastic large cell lymphoma kinase; Anaplastic lymphoma kinase; *In situ* hybridization, fluorescence

Two major genetic mutations in non-small cell lung carcinomas (NSCLCs), epidermal growth factor receptor (*EGFR*) and *KRAS*, have been well studied. Since 2007 when Soda *et al.*<sup>1</sup> discovered rearrangements of anaplastic lymphoma kinase (*ALK*) in NSCLC, the *ALK* gene has received attention as being responsible for another molecular subtype of lung cancer, accounting for about 3% to 6% of NSCLCs.<sup>1,2</sup> It is important that the presence of *ALK* gene rearrangement can be an indication for targeted therapy, like *EGFR*-tyrosine kinase inhibitor (ex. gefitinib) as first-line therapy in patients with advanced pulmonary adenocarcinoma. Moreover, studies have shown that the *ALK*-targeted inhibitor 'crizotinib' produces a notable positive effect in patients harboring the *EML4*/*ALK* translocation.<sup>3</sup>

For the most effective use of *ALK* inhibitor, an accurate method to detect *ALK* gene rearrangement should be performed. To date, fluorescence *in situ* hybridization (FISH) is the universally accepted standard method for detecting *ALK* rearrangement,

with immunohistochemistry (IHC) used as a screening method for identifying ALK rearrangement.<sup>4,5</sup> However, there is a need for a more rapid method to detect ALK rearrangement due to the highly time-consuming nature of FISH. We encountered a patient who showed a dramatic response to crizotinib treatment before confirming ALK rearrangement by FISH based only IHCpositive results for ALK and suspicious histological findings from a biopsy. Therefore, we were curious whether the combination of IHC and suspicious histological findings can improve upon the shortcomings of FISH. Additionally, limited specimen obtained by biopsy is common and often results in lack of remaining tissue for ALK study after the two major mutation tests (EGFR and KRAS) for lung cancer. If the histologic finding is more suggestive of ALK mutation,<sup>6</sup> priority can be given to the ALK mutation test because EGFR, KRAS, and ALK are known to be virtually mutually exclusive.

Our study aimed to investigate the characteristic histologic

features of ALK-positive pulmonary adenocarcinoma, in order to identify important histologic findings in small biopsied specimens whose architecture is difficult to assess and to evaluate whether the combination of these morphologic features and IHC results can overcome the shortcomings of FISH.

# MATERIALS AND METHODS

#### Case selection

Two hundred five ALK-positive cases identified using IHC or FISH on biopsied and resected specimens from Samsung Medical Center were enrolled from January 2007 to May 2013. The basic characteristics of these cases (age, sex, and tumor stage), method of sampling (biopsy or operation), and results of IHC and FISH were investigated.

As a control group for comparing the histologic findings, all consecutive cases of pulmonary resection performed during 2012 were collected. The ALK-IHC had been performed in all cases of the control group, regardless of *EGFR* and *KRAS* analyses or microscopic findings.

The Institutional Review Board of Samsung Medical Center approved this study (IRB No. 2014-01-146).

#### Review of the histological findings

All resected tumors were classified according to histologic subtype based on the new International Association for the Study of Lung Cancer (IASLC)/American Thoracic Society (ATS)/European Respiratory Society (ERS) classification; the predominant pattern was determined as lepidic, acinar, papillary, solid, and invasive mucinous. All other components (> 5% of tumors) were also noted, including the cribriform growth pattern. Presence of extracellular and intracellular mucin, presence of signetring-cells, abrupt presence of goblet cell-like cells that contain amphophilic mucin like those in the intestinal mucosa, and presence of psammoma body, nuclear inclusion and groove, bizarre nuclei and multilobated nuclei were evaluated. As one of the other cytomorphological parameters, presence of prominent large eosinophilic nucleoli was investigated using a cut-off value of > 30% for tumor cells. In the biopsied specimens, we did not determine the predominant subtype, but we recorded all identifiable growth patterns, nuclear features and presence of psammoma body, bizarre nuclei, and nuclear inclusion and groove. We applied the parameter of 'nuclear inclusion and groove' to cells showing cytomorphologic features of thyroid papillary carcinoma. All cytomorphological features in all presented growth components were evaluated in three fields of each growth pattern using high magnification ( $\times 400$ ).

#### IHC and scoring for ALK

IHC for *ALK* fusion was performed using formalin-fixed paraffin-embedded (FFPE) tumor tissue (4-µm thickness) and an antibody to NCL-*ALK* (1:30, clone 5A4, Novocastra, Newcastle upon Tyne, UK). The interpretation of IHC results was based on the a four-tier scoring system: 0 (none), 1+ (faint cytoplasmic staining,  $\geq 10\%$  of tumor cells), 2+ (moderate, smooth cytoplasmic staining), and 3+ (intense, granular cytoplasmic staining). IHC scores of 2+ or 3+ were regarded as ALK-positive results.<sup>5</sup>

### FISH of ALK

The FISH analysis for *ALK* rearrangement on FFPE tissue was examined using a probe specific to the *ALK* locus (Vysis LSI *ALK* dual-color, Break-Apart Rearrangement Probe, Abbott Molecular, Abbott Park, IL, USA). At least 50 nonoverlapping tumor cells were examined, and the cut-off value for positive *ALK* rearrangement was defined as > 15% of tumor cells showing split signals or lone 3' (orange color) signals (Fig. 1).

#### EGFR and KRAS mutation status

EGFR and KRAS mutation data of ALK-negative and ALKpositive groups were investigated. The mutation analyses of EGFR (exon 18, 19, 20, and 21) and KRAS (exons 2 and 3) were examined using direct sequencing-polymerase chain reaction



**Fig. 1.** Fluorescence *in situ* hybridization (FISH) of anaplastic lymphoma kinase (*ALK*)-rearranged pulmonary adenocarcinoma. Rearranged tumor nuclei show split signals (arrows) of 3' (orange color) and 5' (green color) ends of the gene hybridized using a dualcolor ALK break-apart FISH probe (Vysis LSI ALK Dual Color, Break Apart Rearrangement Probe, Abbott Molecular).

(PCR). Genomic DNA was extracted from the FFPE tissue and purified with a QIAquick PCR purification kit (Qiagen, Hilden, Germany). Bidirectional sequencing was performed using the BigDye Terminator v 1.1 kit (Applied Biosystems, Foster City, CA, USA) on an ABI 3130xl genetic analyzer (Applied Biosystems).

#### Statistical analysis

Fisher exact test was used to compare the features of ALK-positive pulmonary adenocarcinoma according to sex, and linear by linear association was used for age and tumor stage. Normal distribution according to age in each group was tested by Kolmogorove-Smirnove and Shapiro-Wilk test. Chi-square test/Fisher exact test, independent samples t test, and logistic regression analysis were applied where appropriate in order to determine the relationship between morphological features and ALK positivity. A p-value of < .05 was considered to be statistically significant in all statistical tests. All analyses were performed using SPSS ver. 18.0 (SPSS Inc., Chicago, IL, USA).

## RESULTS

#### Comparison of ALK-IHC and ALK-FISH

The status of ALK-FISH and ALK-IHC was compared in 78 cases (Table 1). Among 69 ALK-FISH positive cases, 63 cases showed 2+ or 3+ positivity in ALK-IHC staining; therefore, the sensitivity of ALK-IHC (2+ and 3+) was determined to be 91.3% (63/69).

# Characteristics of patients with ALK-positive pulmonary adenocarcinoma

We settled ALK-positive group (n = 205) as ALK-IHC or ALK-FISH positive cases, including 127 cases of ALK-IHC positive (2+ or 3+) without ALK-FISH examination, 63 cases of positive ALK-IHC and ALK-FISH, 9 cases of positive ALK-IHC and not detected or failed ALK-FISH, and 6 cases of nega-

Table 1. Arrangement of ALK-FISH results according to ALK-IHC and sampling method

	ALK-FISH			No. of occord (n. 70)	
	Rearranged (n = 69)	Not detected $(n = 6)$	Failed (n = 3)	INO. OF CASES (IT= 7.6)	
ALK-IHC					
Negative	1 (1.4)	0	0	1	
1+	3 (4.3)	0	0	3	
2+	42 (60.9)	3 (50.0)	3 (100)	48	
3+	21 (30.4)	3 (50.0)	0	24	
Failed	2 (2.9)	0	0	2	
Sampling method					
Biopsy	56 (81.2)	2 (33.3)	0	58	
Resection	13 (18.8)	4 (66.7)	3 (100)	20	

Values are presented as number (%).

ALK, anaplastic lymphoma kinase; FISH, fluorescence in situ hybridization; IHC, immunohistochemistry.

Table 2. Characteristics of patients with ALK-positive and -negative pulmonary adenoca	rcinoma
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Total cases (n=306) —			ALK-positive			
		Biopsy (n = 129)	Biopsy (n = 129) Resection (n = 76) Total (n = 205)		Resection (n=101)	- p-value
Sex (M:F)		47:82	36:40	83:122	53:48	.047 <sup>a</sup>
						.501 <sup>b</sup>
Age (yr)		$53.9 \pm 11.5$	55.1±11.7	$54.4 \pm 11.5$	$61.7 \pm 8.8$	<.001ª
						<.001 <sup>b,c</sup>
TNM stage, n (%)	а	1 (0.8)	29 (38.2)	30 (14.6)	20 (19.8)	.234 <sup>b,d</sup>
	1b	1 (0.8)	4 (5.3)	5 (2.4)	14 (13.9)	
	2a	4 (3.1)	8 (10.5)	12 (5.9)	12 (11.9)	
	2b	1 (0.8)	0	1 (0.5)	8 (7.9)	
	Зa	7 (5.4)	23 (30.3)	30 (14.6)	32 (31.7)	
	3b	9 (7.0)	3 (3.9)	12 (5.9)	1 (1.0)	
	4	106 (82.2)	9 (11.8)	115 (56.1)	14 (13.9)	

ALK, anaplastic lymphoma kinase; M, male; F, female.

<sup>a</sup>p-value between the ALK-positive and -negative group; <sup>b</sup>p-value between the ALK-positive and -negative resected group; <sup>o</sup>p-value by Student's t test; <sup>d</sup>Linear by linear association by chi-square test.

tive (0 or 1+) or failed ALK-IHC and positive ALK-FISH.

The characteristics of patients in the ALK-positive group and control group are presented in Table 2. The ALK-positive group consisted of 129 biopsy cases and 76 resection cases. The sex ratio in the ALK-positive group was male:female = 83:122 in contrast to 53:48 in the ALK-negative group. This finding was statistically significant (p = .047) and suggested that ALK-positive pulmonary adenocarcinoma occurs more frequently in women, with odds ratio of 1.623. ALK-positive cancer occurred in patients 7.3 years younger than the ALK-negative group, and this difference was statistically significant (p < .001). The distribution of tumor stage in the ALK-positive biopsy group was one-sided in stage 4 (82.2%). However, a statistically significant difference was not noted between the ALK-positive and -negative resection groups (p = .234).

# Histological type and characteristic microscopic findings in ALK-positive pulmonary adenocarcinoma

Histologic subtypes based on predominant growth pattern were investigated in the ALK-positive and -negative resection groups, but there was no statistically significant histologic subtype of ALK-positive pulmonary adenocarcinoma (Table 3). However, acinar, solid, micropapillary, and cribriform growth patterns were more frequent in ALK-positive lung cancer based on the examination of growth patterns (p = .035, p = .002, p = .004, and p < .001 respectively) (Table 3, Fig. 2A, B). The ALK-positive group showed more variable growth patterns at a statistically significant level; 77.7% of cases in the ALK-positive group showed more than 3 types of growth patterns (mean, 3.3). However, cases in the ALK-negative group usually contained 2 or 3 types of growth patterns (75.2%; mean, 2.4) (p < .001) (Table 3, Fig. 2A).

Table 3. Correlation of histologic findings in resection groups according to ALK positivity

	ALK-positive resection ( $n = 76$ )	ALK-negative resection (n = 101)	p-value
Histolologic subtype (predominant)			
Acinar	31 (40.8)	35 (34.7)	.403
Papillary	24 (31.6)	36 (35.6)	.572
Solid	19 (25.0)	23 (22.8)	.730
Micropapillary	0	3 (3.0)	.130
Lepidic	0	3 (3.0)	.130
Invasive mucinous	2 (2.3)	1 (1.0)	.402
Growth pattern (>5% of entire tumor)			
Acinar	65 (85.5)	73 (72.3)	.035
Papillary	52 (68.4)	66 (65.3)	.668
Solid	45 (59.2)	36 (35.6)	.002
Micropapillary	31 (40.8)	21 (20.8)	.004
Lepidic	7 (9.2)	20 (19.8)	.052
Cribriform	51 (67.1)	29 (28.7)	<.001
No. of growth patterns <sup>a</sup>			
1	3 (3.9)	14 (13.9)	<.001 <sup>b</sup>
2	14 (18.4)	44 (43.6)	
3	26 (34.2)	32 (31.7)	
4	23 (30.3)	9 (8.9)	
5	10 (13.2)	1 (1.0)	
6	0	1 (1.0)	
Microscopic findings			
Presence of signet-ring-cell element	20 (26.3)	4 (4.0)	<.001
Extracellular mucin production	34 (44.7)	18 (17.8)	<.001
Intracellular mucin content	58 (76.3)	57 (56.4)	.006
Hobnail cells with abundant cytoplasm	4 (5.3)	35 (34.7)	<.001
Abrupt presence of goblet cell-like cells	49 (64.5)	33 (32.7)	<.001
Psammoma body	33 (43.4)	5 (5.0)	<.001
Presence of bizarre cells	19 (25.0)	43 (42.6)	.015
Presence of multilobated cells	5 (6.6)	6 (5.9)	.862
Nuclear inclusion and groove	16 (21.1)	10 (9.9)	.038
Prominent macronucleoli	58 (46.6)	78 (53.4)	.034

Values are presented as number (%).

ALK, anaplastic lymphoma kinase.

<sup>a</sup>Number of all presented growth patterns presented in 'Growth pattern (>5% of entire tumor)'; <sup>b</sup>p-value by Student's t-test.

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Among the examined cytomorphologic parameters, extracellular and intracellular mucin production (Fig. 2C), presence of signet-ring-cell element (Fig. 2D), presence of psammoma body (Fig. 2E), abrupt presence of goblet cell-like cells (Fig. 2F), and presence of nuclear inclusion and groove (Fig. 2G) were significantly more frequent in the ALK-positive resection group. Hobnail cells with abundant cytoplasm, bizarre cells, and presence of macronucleoli were more frequently observed in the ALK-neg-



Fig. 2. Histologic features of anaplastic lymphoma kinase (*ALK*)-rearranged pulmonary adenocarcinoma. (A) *ALK*-rearranged tumors show variable growth patterns. At low magnification, the tumor shows solid, acinar, and papillary growth patterns. (B) Cribriform and micropapillary patterns are more frequent in ALK-positive pulmonary adenocarcinoma. (C) Tumors have frequent extracellular and intracellular mucin production. (D) Solid growth is frequently found to contain signet-ring-cells. (E) A few psammoma bodies are noted in the background of mixed tumor and extracellular mucin. (F) Some tumors contain goblet cell-like cells. (G) Intranuclear inclusion and nuclear groove resembling papillary thyroid carcinoma are frequently found. (H) On the biopsied specimen from the patient showing dramatic response to *ALK*-targeted therapy before ALK-fluorescence *in situ* hybridization, many signet-ring-cells are found. (I) Tumor cells in Fig. 2H show 3+ ALK-immuno-histochemistry score.

Table 4. Multivariate anal	sis: histoloaic fina	dinas in ALK-positive c	or -negative resection arou	ps

	ALK-positive resection (n=76)	ALK-negative resection (n = 101)	Odds ratio	95% CI
Growth pattern (>5% of entire tumor)				
Acinar	65 (85.5)	73 (72.3)	3.178	1.298-7.779
Solid	45 (59.2)	36 (35.6)	2.694	1.301-5.577
Micropapillary	31 (40.8)	21 (20.8)	3.414	1.581-7.372
Cribriform	51 (67.1)	29 (28.7)	3.771	1.877-7.575
Microscopic findings				
Presence of signet-ring-cell element	20 (26.3)	4 (4.0)	5.466	1.488-20.080
Extracellular mucin production	34 (44.7)	18 (17.8)	3.372	1.411-8.057
Hobnail cells with abundant cytoplasm	4 (5.3)	35 (34.7)	0.096	0.026-0.356
Psammoma body	33 (43.4)	5 (5.0)	16.548	5.303-51.641

Values are presented as number (%).

ALK, anaplastic lymphoma kinase; Cl, confidence interval.

Table 5. Correlation of morphologic features between ALK-positive biopsy and resection groups

	ALK-positive biopsy (n=129)	ALK-positive resection (n = 76)	p-value
Presence of signet-ring-cell element	40 (31.0)	20 (26.3)	.476
Extracellular mucin production	15 (11.6)	34 (44.7)	<.001
Intracellular mucin content	101 (78.3)	58 (76.3)	.743
Hobnail cells with abundant cytoplasm	5 (3.9)	4 (5.3)	.640
Abrupt presence of goblet cell-like cells	69 (53.5)	49 (64.5)	.124
Psammoma body	20 (15.5)	33 (43.4)	<.001
Nuclear inclusion and groove	7 (5.4)	16 (21.1)	.001

Values are presented as number (%).

ALK, anaplastic lymphoma kinase.

#### ative group.

According to logistic regression analysis (Table 4), acinar, solid, micropapillary, and cribriform growth patterns were more frequent in ALK-positive group, and the significant cytomorphologic features included presence of signet-ring-cell elements, extracellular mucin production, hobnail cells with abundant cytoplasm, and psammoma body.

# Morphological features of small biopsy specimens compared with resection specimens

To evaluate whether ALK-specific morphologic features in the resection group can be applied to small biopsy specimens, cytomorphologic findings were compared between the ALK-positive biopsy and resection groups (Table 5). The parameters except for extracellular mucin production, psammoma body, and nuclear inclusion and groove showed no differences between the biopsy and resection groups (p > .05).

### DISCUSSION

Since the presence of *ALK* rearrangement has become an important predictive biomarker for the use of the *ALK*-targeted inhibitor 'crizotinib' for NSCLC, the detection of *ALK* rearrange-

ments is also becoming increasingly important. However, ALK-FISH as a standard method for detecting *ALK* rearrangement is expensive, time-consuming, and influenced by many factors (skill and material). On the other hand, ALK-IHC has been used as a screening method due to its lower specificity compared to ALK-FISH. We evaluated the histologic findings that can be helpful in predicting *ALK* rearrangement and in saving time until *ALK*targeted inhibitor treatment.

Although there might have been a selection bias due to the excessive number of biopsied cases in stage IV, the ALK-positive group showed more frequent occurrence of lung adenocarcinoma in an advanced tumor stage than did the ALK-negative group (stage IV: 56.1% vs 13.9%). Although the ALK-positive resection group showed no statistically significant difference in the distribution of tumor stage compared with the ALK-negative group (p = .234), ALK-positive lung cancer is suspected to show more aggressive behavior, considering that ALK-positive lung cancer presents in an advanced stage with low resectability, leading to the need for chemotherapy or palliative therapy instead of surgical treatment.

There have been several studies on the morphologic findings of *ALK*-rearranged NSCLCs. Some authors<sup>7-9</sup> have suggested that acinar growth pattern and extracellular mucin production are typical of ALK-rearranged pulmonary adenocarcinoma. Another author recommended signet-ring-cell elements associated with solid growth as a feature of *ALK*-rearranged pulmonary adenocarcinoma.<sup>10</sup> In the present study, histologic subtype based on 'predominant' growth pattern was not effective in discriminating between the ALK-positive and -negative groups. However, ALKpositive pulmonary adenocarcinoma showed more variable growth patterns (Fig. 2A) with significantly more frequent presence of acinar, solid, micropapillary, and cribriform growth patterns (Fig. 2A, B, D) compared with non-*ALK* rearranged adenocarcinoma (Table 3).

As reported by Jokoji *et al.*<sup>7</sup> and Inamura *et al.*,<sup>9</sup> who observed that mucin production was one of the important findings of *EML4-ALK*-positive lung adenocarcinoma, our study also showed that extracellular mucin production was statistically significant in the ALK-positive resection group (p < .001).

Rodig *et al.*<sup>10</sup> showed that tumor cells forming a solid or sheetlike pattern were easily distinguishable from other growth patterns in *ALK*-rearranged adenocarcinoma, and that the majority (56%) of *ALK*-rearranged tumors showed a solid growth pattern with > 10% signet-ring cells. Our study also showed frequent solid growth (45/76 cases, 59.2%) in the ALK-positive resection group, and signet-ring-cell elements were extremely frequent in the ALK-positive resection group (20/76 cases, 26.3% vs 4/101 cases, 4.0%) (Table 3, Fig. 2D). Indeed, the signet-ring-cell element was significantly more frequent in the ALK-positive resection group showing solid growth than in the ALK-negative group (odds ratio, 21.9 vs 1.9).

A recent study about histomorphologic features of *ALK*-rearranged lung adenocarcinoma by Kim *et al.*<sup>11</sup> showed several significant microscopic features, including cribriform formation, presence of extracellular mucin, presence of mucin-containing cells, close relation to adjacent bronchioles, presence of psammoma body, presence of cholesterol cleft, and solid predominant pattern. Their data are similar to those of the present study except for two parameters that we did not evaluate close relation to adjacent bronchioles and presence of cholesterol cleft. However, we are more interested in the abrupt presence of goblet cell-like cells and presence of nuclear inclusion and groove resembling papillary thyroid carcinoma.

Abrupt presence of goblet cell-like cells (Fig. 2F) was frequent in the ALK-positive resection group (64.5% vs 32.7%, p < .001). We also found that many cases with a signet-ring-cell element in the ALK-positive resection group showed abrupt presence of goblet cell-like cells (16/20 cases, 80.0%). Considering the cytomorphologic similarity between signet-ring cells and goblet celllike cells, we supposed that presence of goblet cell-like cells is part of a process to form signet-ring-cell and is predictive parameter for ALK-positive pulmonary adenocarcinoma. However, this relation was not statistically significant (p = .091).

One of the notable findings of our study was that the presence of nuclear inclusion and groove resembling papillary thyroid carcinoma (Fig. 2G) was noted more often observed in the ALKpositive lung cancer cases compared to the negative cases. We hypothesized that this feature is valuable in predicting ALKpositive lung cancer. However, there is no available data on why papillary thyroid carcinoma-like features are more frequent in ALK-positive lung cancer, suggesting additional study cases are needed.

On the correlation between biopsy and resection cases in ALK-positive tumors (Table 5), we found that some parameters, such as presence of signet-ring-cell elements, intracellular mucin content, and presence of goblet cell-like cells, showed no statistically significant differences between the biopsy and resection groups. However, these features are believed to be helpful for predicting ALK positivity in small biopsy specimens. Furthermore, presence of signet-ring-cell, psammoma body, nuclear inclusion and groove, and goblet cell-like cells are meaningful in small biopsy sections, if present (Fig. 2H).

Paik et al.<sup>4</sup> reported that the sensitivity and specificity of ALK-IHC was 100% and 95.8%, respectively, with a well-organized interpretation flow and concluded that ALK-IHC might be useful as a screening method to identify ALK rearrangements; a 91.3% sensitivity of ALK-IHC was noted in our study. However, ALK-IHC alone is not yet adequate to replace ALK-FISH and is applicable only as a screening method. For example, we excluded 4 cases showing discordance between the result of ALK and that of EGFR and KRAS before the present study. These cases included three EGFR-mutated cases (two ALK-IHC- and EGFR-positive cases with no examination by ALK-FISH and one concurrent EGFR-mutated and ALK-FISH-positive case) and one concurrent ALK-IHC- and KRAS-positive case without examination by ALK-FISH; KRAS missense mutation in the 12th codon : c.34G > T (p.G12C). Upon reviewing a case showing EGFR missense mutation in exon 18 (G719X), ALK-IHCpositivity (2+), and ALK-FISH-positivity, there was a suspicion of over-interpretation of ALK-FISH analysis; about 20% of tumor cells showed only 'lone 3' (orange color) signals' without 'split signals.' The reasons for the other three false positive cases by ALK-IHC could not be determined, although they showed definite 2+ and 3+ ALK-IHC scoring.

However, ALK-FISH has some demerits, such as high cost,

time-consuming process, dependency on many conditions, including skill of interpretation, and preparation of material such as quantity and quality of tumor cells. In our study, 9 cases showed no detection or failed results on ALK-FISH, and they were all negative for EGFR and KRAS, despite having 2+ or 3+ ALK-IHC scores (Table 1). After review of ALK-IHC and ALK-FISH results, the 6 cases with no detection were also confirmed as failures due to interpretation difficulty of ALK-FISH caused by excessive mucin content and low cellularity. In the practice, ALK-FISH is usually the second choice after analyses of both major mutations (EGFR and KRAS), and it leads to scarcity of tissue availability for testing from small biopsied specimens. Therefore, we believe that ALK-FISH could be complemented for rapid treatment, and the microscopic findings might be predictors of ALK rearrangement. If the morphologic findings are suggestive of ALK-rearranged pulmonary adenocarcinoma and are supported by a positive result in ALK-IHC, the patients can benefit from timely treatment. For example, we encountered a patient who showed dramatic response to 'crizotinib' before confirmation by ALK-FISH using only positive ALK-IHC and suspicious histological findings via biopsy (Fig. 2H, I).

Conclusively, we found that acinar, micropapillary, cribriform, and solid growth patterns with intracellular mucin content, signet-ring-cell element, and psammoma body were useful in predicting *ALK* rearrangement. Indeed, the notable findings of our study were that presence of goblet cell-like cells or nuclear inclusion or groove resembling papillary thyroid carcinoma was more common in *ALK*-positive adenocarcinoma of the lung, although these findings should be evaluated further. In the biopsied specimens, presence of signet-ring-cell elements, goblet cell-like cells, intracellular mucin content, and psammoma body can be helpful in predicting *ALK* rearrangement. Additionally, there is a limitation of our study as the histologic comparison between FISH-positive cases and IHC-positive cases could not be made due to weighted biopsied specimens.

We hope to clarify the histologic findings of *ALK* rearrangement in a prospective cohort study and to evaluate the histologic findings with newly appearing genes in *ALK* rearrangement.

# **Conflicts of Interest**

No potential conflict of interest relevant to this article was reported.

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