



Molecular Screening of Small Biopsy Samples Using Next-Generation Sequencing in Korean Patients with Advanced Non-small Cell Lung Cancer: Korean Lung Cancer Consortium (KLCC-13-01)

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Background: Non-small cell lung cancer (NSCLC) is a common type of cancer with poor prognosis. As individual cancers exhibit unique mutation patterns, identifying and characterizing gene mutations in NSCLC might help predict patient outcomes and guide treatment. The aim of this study was to evaluate the clinical adequacy of molecular testing using next-generation sequencing (NGS) for small biopsy samples and characterize the mutational landscape of Korean patients with advanced NSCLC. **Methods:** DNA was extracted from small biopsy samples of 162 patients with advanced NSCLC. Targeted NGS of genomic alterations was conducted using Ion AmpliSeq Cancer Hotspot Panel v2. **Results:** The median age of patients was 64 years (range, 32 to 83 years) and the majority had stage IV NSCLC at the time of cancer diagnosis (90%). Among the 162 patients, 161 patients (99.4%) had novel or hotspot mutations (range, 1 to 21 mutated genes). Mutations were found in 41 genes. Three of the most frequently mutated genes were *TP53* (151, 93.2%), *KDR* (104, 64.2%), and epidermal growth factor receptor (*EGFR*; 69, 42.6%). We also observed coexistence of *EGFR* and other oncogene (such as *KRAS*, *PIC3CA*, *PTEN*, and *STK11*) mutations. Given that 69.6% (48/69) of *EGFR* mutant patients were treated with *EGFR* tyrosine kinase inhibitors, *EGFR* mutant status had higher prognostic ability in this study. **Conclusions:** These results suggest that targeted NGS using small biopsy samples is feasible and allows for the detection of both common and rare mutations in NSCLC.

Key Words: Carcinoma, non-small cell lung; Targeted next-generation sequencing; Small biopsy; Receptor, epidermal growth factor

The majority of patients diagnosed with non-small cell lung cancer (NSCLC) present with advanced stage disease and have extremely poor prognosis.¹ Recent advances in the understanding of lung cancer biology and improvements in technology have allowed molecular classification of NSCLC.^{2,3} Classifying NSCLC into distinct actionable subtypes with mutually exclusive driver oncogenes has led to the development of targeted therapy.³ Better survival has been observed after treatment with epidermal growth factor receptor (EGFR) or anaplastic lymphoma kinase (ALK) tyrosine kinase inhibitors (TKIs) in patients harboring the appropriate activating mutation or translocation, compared with standard chemotherapy.^{3,4}

Although several genes including *EGFR* and *ALK* have been identified as potential oncogenic drivers and targets for therapy, a large fraction of NSCLC patients do not have mutations in these commonly mutated genes. Thus, there are needs to identify additional driver oncogenes and targets for treatment. In addition, many NSCLC patients also harbor other co-existing molecular alterations that might influence the efficacy of a targeted therapy, leading to primary or secondary resistance. It is important to investigate these concurrent genetic alterations to reveal clinically significant predictive and prognostic markers. However, several challenges remain in the implementation of multiple molecular tests to find therapeutic or prognostic markers. First, most NSCLC biopsy samples are not amenable to multiple molecular tests due to the small amounts of tissues obtained by bronchoscopy or core biopsy. In addition, conventional molecular tests such as Sanger sequencing and polymerase chain reaction (PCR) are insensitive to alterations occurring at allele frequencies lower than 20%. Finally, multiple and separate tests result in higher costs and longer turn-around time. Thus, a more comprehensive, sensitive, and time/cost-effective multiplex test is necessary to optimize the application of targeted therapy.^{5,6} Consequently, incorporation of molecular screening using next-generation sequencing (NGS) in the pathologic evaluation of NSCLC is now considered the standard in clinical practice.^{7,8}

The rapid development of NGS technologies has enabled a new paradigm in precision medicine for oncology. It is now possible to identify oncogenic alterations that would have been previously undiscovered by conventional tests such as sequencing. For the routine clinical molecular diagnostic testing in NSCLC, NGS need to meet some criteria; NGS platform should be able to detect targetable driver mutations from limited amounts of input DNA from small biopsy or cytology samples, the turn-around time should be short, and the cost should be low. Unlike whole-genome sequencing or whole-exome sequencing, targeted

NGS including selected genes that show frequent alterations in cancer can reduce the amount of tissue, time, and cost required for testing.⁹⁻¹¹

To validate the accuracy and feasibility of targeted NGS, we used Ion AmpliSeq Cancer Hotspot Panel v2 to identify the variety of tumor-associated mutations in formalin-fixed paraffin-embedded (FFPE) or fresh frozen (FF) specimens from 162 advanced NSCLC patients in Korea. In this study, we analyzed multiple somatic mutations found in our advanced NSCLC cohort in order to detect known actionable mutations and discover potential therapeutic targets and prognostic biomarkers for NSCLC.

MATERIALS AND METHODS

Patients and tumor samples

We analyzed 162 FFPE or frozen tumor tissue specimens from advanced NSCLC patients between January 2014 and December 2015 at Samsung Medical Center (SMC). All samples were collected before any treatments were initiated. Procedures used for tumor tissue sampling varied, including video-assisted thoracoscopic surgery, core-needle biopsy, bronchoscopy, and endobronchial ultrasonography. Clinical data were obtained retrospectively from electronic medical records. The clinical variables assessed were sex, age at diagnosis, smoking history, tumor subtype, cancer stage, *EGFR* mutation, *ALK* rearrangement, chemotherapy regimen, TKIs, and tumor response. Separately, *EGFR* mutation status was tested by real-time PCR using the peptide nucleic acid (PNA)-clamping EGFR Mutation Detection Kit (Panagene, Inc., Daejeon, Korea). Real-time PCR was performed using a CFX96 (Bio-Rad, Hercules, CA, USA) and all reagents were included in the kit. PCR cycling and mutation detection were done as previously described.¹² *ALK* rearrangement status was tested by immunohistochemistry and confirmed by fluorescence *in situ* hybridization (FISH). All procedures involving tumor specimens were reviewed and approved by the Institutional Review Board (IRB) of SMC and all data were fully anonymized (SMC 2013-08-113-020). Written informed consent was provided by all patients.

DNA extraction

All tissue sections were reviewed by pathologists, and only those with tumor content more than 10% were included in the study. Genomic DNA was extracted from FFPE samples using the QIAamp DNA FFPE Tissue Kit (Qiagen, Hilden, Germany) or FF tumor specimens using QIAamp DNA mini kit. Purified DNA was quantitated by NanoDrop (Invitrogen Life Technolo-

gies, Carlsbad, CA, USA) and Qubit Fluorometer (Invitrogen Life Technologies).

Next-generation sequencing and data analysis

The Ion Torrent Ion AmpliSeq Cancer Hotspot Panel v2 (Life Technologies) was used. This panel detects hotspot regions, including ~2,800 COSMIC mutations of 50 oncogenes and tumor suppressor genes. A total of 162 cases of NSCLC specimens were subjected to NGS on the AmpliSeq platform. Sequencings were done according to previously described methods.¹³ Variants calls were further processed to reduce potential false-positives. Coverage (> 500×) was considered as filtering criteria and the minimal variant allele frequency was 2% for confirming variants as real. After filtering using these criteria, variants causing amino acid change and frameshift were finally used for statistical analysis.

Statistical analysis

Clinical and radiological response to treatment was assessed according to Response Evaluation Criteria In Solid Tumor ver. 1.1. Kaplan-Meier estimates were used for the analysis of all time-to-event variables. Progression-free survival (PFS) was calculated from the date of chemotherapy to the date of disease progression or death from any cause or the date of last follow-up. The overall survival (OS) was measured from the date of chemotherapy to the date of death from any cause and was censored at the date of the last follow-up visit. Variables with $p < .05$ were considered significant. All statistical analyses were performed using PASW Statistics ver. 23.0 (SPSS Inc., Chicago, IL, USA).

RESULTS

Patient characteristics

The clinical characteristics of advanced NSCLC patients included in the present study are summarized in Table 1. The median age was 64 years (range, 32 to 83 years) and gender proportions were roughly equal (male 57% vs female 43%). Seventy-nine patients (59%) were smokers or former smokers, 83 patients (51%) were never smokers. The NSCLC subtype distribution was as follows: adenocarcinoma (139/162, 85.8%), squamous cell carcinoma (17/162, 10.5%), adenosquamous cell carcinoma (1/162, 0.6%), and other (5/162, 3.1%). The majority of patients had stage IV NSCLC at the time of cancer diagnosis (145/162; 90%). In stage IV NSCLC patients, the median PFS was 6.2 months (95% CI, 4.2 to 8.1) and OS was 19.6 months (95% CI, 15.4 to 23.7). *EGFR* mutation test was done in 145 patients,

Table 1. The baseline characteristics of patients

Characteristic	No. (%) (n=162)
Age (yr)	
Median	64
Range	32–83
Sex	
Male	92 (57)
Female	70 (43)
Smoking history	
Never-smoker	83 (51)
Current	33 (20)
Ex-smoker	46 (28)
Histology	
Adenocarcinoma	139 (86)
Squamous cell carcinoma	17 (10)
Adenosquamous	1 (1)
NSCLC, other	5 (3)
Clinical stage	
I–II	7 (4)
IIIA	5 (3)
IIIB	5 (3)
IV	145 (90)
Brain metastasis	
Present	49 (30)
Absent	113 (70)
Biopsy type	
VATS	45 (28)
CNB_lung	23 (14)
CNB_others	22 (14)
Bronchoscopy	31 (19)
EBUS	41 (25)
First treatment	
Chemotherapy	81 (50)
EGFR TKI	51 (32)
ALK TKI	3 (2)
No treatment	27 (16)

NSCLC, non-small cell lung carcinoma; VATS, video-assisted thoracoscopic surgery; CNB, core-needle biopsy; EBUS, endobronchial ultrasonography; EGFR, epidermal growth factor receptor; TKI, tyrosine kinase inhibitor; ALK, anaplastic lymphoma kinase.

and the mutation was detected in 64 patients (44.1%) by real-time PCR using PNA-clamping. Positive results for *ALK* rearrangement by FISH were detected in 14 patients (8.6%). In this cohort, 81 patients were treated with cytotoxic chemotherapy (81/162, 50.0%), 51 with EGFR TKIs (51/162, 31.5%), and three with ALK TKIs (3/162, 1.8%) as first-line therapy.

Molecular profiling of advanced NSCLC

We employed targeted NGS technology to evaluate somatic mutations occurring in advanced NSCLC, using the Ion Torrent Ion AmpliSeq Cancer Hotspot Panel. Among the detected mutations, only those annotated in the Catalogue of Somatic Muta-

tions in Cancer (COSMIC) database were considered. Mutations were found in 41 genes and commonly detected in the following genes: *TP53* (151, 93.2%), *KDR* (104, 64.2%), *EGFR* (69, 42.6%), *APC* (51, 31.5%), *RB1* (30, 18.5%), *SMAD4* (28, 17.3%), *MET* (22, 13.6%), *STK11* (20, 12.3%), *RET* (18, 11.1%), *ALK* (17, 10.5%), and *KRAS* (13, 8.0%), as shown in Fig. 1. Only one patient had no mutation while 161 (99.4%) patients possessed more than one mutation (range, 1 to 21; median, 4). The vast majority of identified mutations were single nucleotide variant (SNV) followed by deletion (Del) and insertion (Ins). In accordance with the frequency described in previous studies,^{1,3} *EGFR* mutations were found in 42.6% patients and most of them (54/69, 78.3%) were typical mutations (30 Del exon 19 and 24 L858R). One of these was a triple *EGFR* mutant (L858R/G873R/Q787L) and ten were double *EGFR* mutant (3 Del exon 19/G873R, 2 Del exon 19/A750P, 1 Del exon 19/S752Y, 1 Del exon 19/K754N, 2 L858R/G873R, 1 L858R/T790M). Besides *TP53* and *EGFR*, the most frequently mutated gene was *KDR*, and *KDR* mutations appeared in codon 472 (103 Q472H) and codon 875 (1 T875A). One patient with *KDR* Q472H had concurrent *KDR* S1148C. *MET* mutations were found in codon 375 (17 N375S) and codon 179 (5 A179T). Although one *MET* R970C in exon 14 was simultaneously found with N375S, this has not been reported as cause of *MET* exon 14 skipping.¹⁴ *STK11* mutations were found in

codon 354 (19 F354L) and codon 176 (1 D176G). Two samples detecting *STK11* F354L had other *STK11* mutation in codon 281 (P281L). Seventeen *ALK* mutations were all in codon 1184 (G1184E). In concordance with the known frequency of *KRAS* mutations in Asian population (5%–10%),¹⁵ they were found in 8% of this cohort. Most *KRAS* mutations appeared in codon 12 (1 G12A, 4 G12C, 3 G12D, 1 G12R, and 2 G12V) with two mutations in codon 50 (T50P). *PIK3CA* mutations (E81K, R401Q, E542G, E545A, E545K, Q546K, and H1047R) were detected in seven samples and one patient had double *PIK3CA* mutants (E542G/E545A). *PTEN* mutations (K66E, R130X, Q171X, and P246L) were identified in four patients.

We also observed co-occurrence of some of the most frequently mutated and clinically significant genes. Five patients simultaneously had mutations in both *EGFR* and *KRAS*. *EGFR* mutations also harbored *PIK3CA* and *PTEN* mutations, which were detected in three and two patients, respectively. In addition, although *STK11* mutations were most commonly seen in association with *KRAS* mutations, we found seven cases with co-occurrence of *EGFR* and *STK11* mutations in this study.

Comparison of mutational profiles obtained with the AmpliSeq assay

Based on mutation results considering the location of mutation sites, *EGFR* mutations were consistently detected by tar-



Fig. 1. Heatmap of mutations found in 162 non-small cell lung cancer samples. In the upper panel, the first row indicates sex, the second row smoking status, and the third row histology. A histogram shows the percentage of mutations in each gene (left). The horizontal axis presents the complete dataset of patients and the vertical axis illustrates mutated genes (right).

geted NGS using AmpliSeq Cancer Panel and conventional PNA-clamping PCR (42.6% vs 44.1%). In 145 patients tested for *EGFR* mutation, the comparison results of *EGFR* mutations detected by targeted NGS and conventional PNA-clamping PCR are summarized in Table 2. When comparing mutation detection of *EGFR* in FFPE and FF samples, a high concordance rate (92.4%) was seen between NGS and PNA-clamping PCR. However, targeted NGS method identified additional *EGFR* mutations in 14 concordant cases and seven discordant cases that were not identified by PNA-clamping PCR. The most frequently found additional *EGFR* mutation was G873R which was found in 12 patients. We observed three discordant cases that showed positive results (all Del exon 19) in PNA-clamping, but negative in NGS. Considering the high sensitivity of NGS, these results may be due to tumor heterogeneity.

Although most of the patients had a single biopsy, four patients

had repeated biopsies and had double tumors tested. Except for one consistent case, the other three cases showed slightly different mutation profiles (Table 3). These differences may be due to tumor heterogeneity or tumor evolution.

Impact of mutation status on survival

We evaluated the relationships between *EGFR* somatic mutations and survival. Activating *EGFR* mutations have been reported as prognostic factors in other studies.^{16,17} In this study cohort, 69.6% (48/69) of patients with *EGFR* mutations were treated with EGFR TKIs. The presence of *EGFR* mutations were definitive predictive markers of both PFS (hazard ratio [HR], 2.59; 95% confidence interval [CI], 1.75 to 3.85) (Fig. 2A) and OS (HR, 2.00; 95% CI, 1.30 to 3.09) (Fig. 2B). Median PFSs were 3.8 months for *EGFR* wild-type group and 14.6 months for *EGFR* mutant group. The median OS for *EGFR*

Table 2. Comparison of *EGFR* mutations detected by targeted NGS and PNA-clamping PCR

Sample type	No. of cases compared	Concordant (NGS/PNA)		Discordant (NGS/PNA)			Concordance (%)
		-/-	+/+	-/+	+/-	+/+	
FFPE	131	69	53	2	6	1	93.1
FF	14	5	7	1	1	0	85.7
Total	145	74	60	3	7	1	92.4

EGFR, epidermal growth factor receptor; NGS, next-generation sequencing; PNA, peptide nucleic acid; PCR, polymerase chain reaction; FFPE, formalin-fixed paraffin-embedded; FF, fresh frozen.

Table 3. Mutations identified in four patients with repeat biopsy samples

Patient No.	Mutations identified in first sample	Mutations identified in second sample
1	<i>KDR</i> Q472H, <i>APC</i> A1582P, <i>MET</i> N375S, <i>TP53</i> R248W, <i>TP53</i> P72R	<i>KDR</i> Q472H, <i>APC</i> A1582P, <i>TP53</i> R248W, <i>TP53</i> P72R
2	<i>ERBB4</i> T926M, <i>KIT</i> M541L, <i>TP53</i> H179R, <i>TP53</i> P72R	<i>ERBB4</i> T926M, <i>KIT</i> M541L, <i>FLT</i> F590L, <i>TP53</i> H179R, <i>TP53</i> P72R
3	<i>PIK3CA</i> E542K, <i>PTPN11</i> G503V, <i>TP53</i> E285K, <i>TP53</i> P72R, <i>TP53</i> P72A, <i>SRC</i> Q529X	<i>KIT</i> M541L, <i>KDR</i> Q472H, <i>TP53</i> P72R
4	<i>CTNNB1</i> D32A, <i>KDR</i> Q472H, <i>EGFR</i> ex19 del, <i>EGFR</i> A750P, <i>CDKN2A</i> H66R, <i>TP53</i> P72R	<i>CTNNB1</i> D32A, <i>KDR</i> Q472H, <i>EGFR</i> ex19 del, <i>EGFR</i> A750P, <i>CDKN2A</i> H66R, <i>TP53</i> P72R

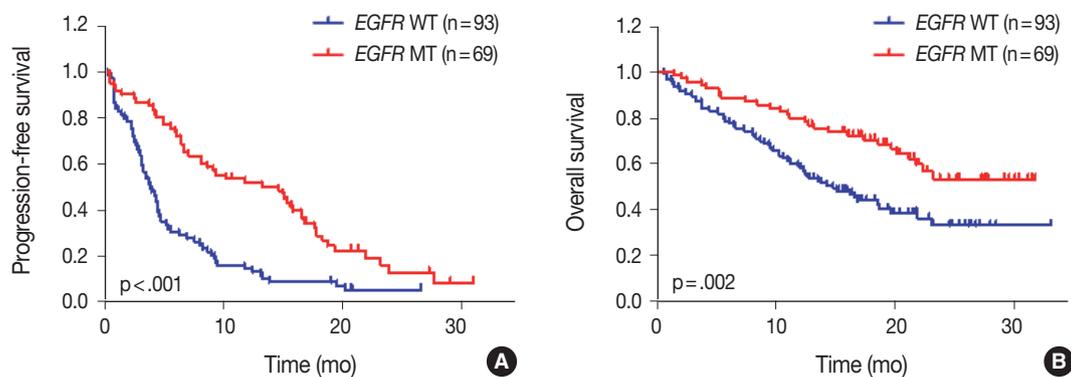


Fig. 2. Impact of epidermal growth factor receptor (*EGFR*) mutational status on survival. (A) Progression-free survival of patients with *EGFR* mutant (MT) compared with *EGFR* wild-type (WT) patients. (B) Overall survival of all patients according to *EGFR* status. p-values were obtained using the log-rank test.

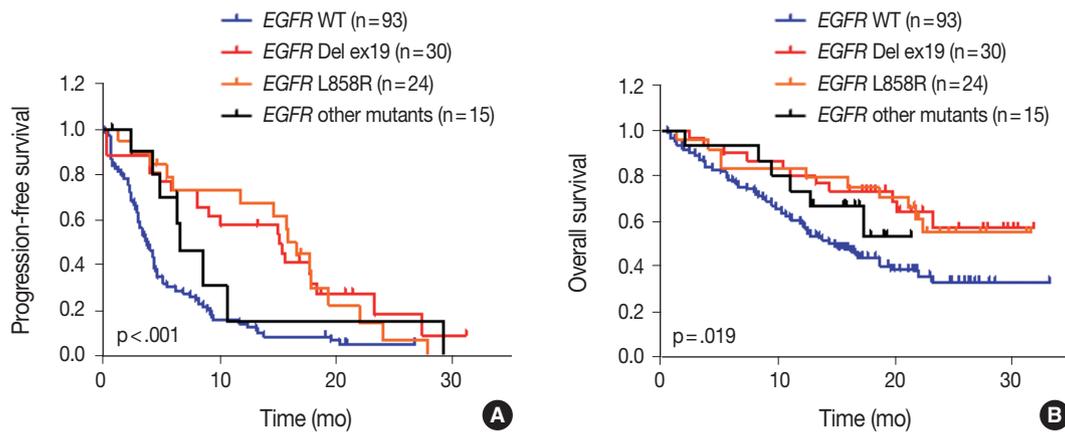


Fig. 3. Impact of different types of epidermal growth factor receptor (*EGFR*) mutations on survival. Progression-free survival (A) and overall survival (B) of patients with different types of *EGFR* mutations compared with *EGFR* wild type (WT) patients. *p*-values were obtained using the log-rank (Mantel-Cox) test.

wild-type group was 14.8 months, but the median OS for *EGFR* mutant group was not reached. When PFS was analyzed after grouping the patients according to the three types of *EGFR* mutations (Del ex19, L858R, and others), the median PFSs were different between activating mutations (15.1 months for Del ex19 and 17.7 months for L858R) and others (6.6 months) (Fig. 3A). However, the median OS was not reached in the three types of *EGFR* mutations (Fig. 3B).

DISCUSSION

Currently, molecular testing for *EGFR* mutations and *ALK* rearrangements is essential for targeted therapy in patients with NSCLC. However, the heterogeneous nature of NSCLC can lead to inaccurate molecular classification and therapeutic resistance. Other genetic alterations that have been found and have potential therapeutics include *ROS1*, *RET*, and *NTRX* gene rearrangement, *MET* exon 14 skipping, and *MET* amplification.^{4,18,19} However, there are no standard molecular diagnostic tests for these genetic alterations. In addition, an important limitation in current routine diagnosis is that the quantity of DNA extracted from small biopsy samples (FFPE or FF) is not adequate for multiple molecular tests in most cases. To cope with this limitation, comprehensive multiplex testing using NGS is necessary to improve the efficacy of targeted therapy for NSCLC patients. With advances in NGS technology, several target regions of interest can be sequenced concurrently and thereby improve the chances of identifying rare mutations. In this study, 162 Korean advanced NSCLC samples were assessed for mutations in oncogenes and tumor suppressor genes using an NGS platform (AmpliSeq Cancer Hotspot Panel). This targeted sequencing

method shows high accuracy and requires only small quantities of sample (10 ng DNA), enabling researchers to sequence challenging small biopsy samples such as FFPE. Genetic alterations were confirmed in 99.4% of samples and 14 additional *EGFR* mutations (L707E, G719A, G719C, L747S, A750P, S752Y, K754N, S768I, V769L, V774M, T783A, S784P, Q787L, and G873R) were identified that were not detected with PNA-clamping PCR. In addition, we found some of the most frequently altered and clinically significant genes such as *KRAS*, *MET*, *STK11*, *PIK3CA*, and *PTEN* mutations. Moreover, the higher sensitivity of NGS platform should increase the identification of concomitant mutations. These results suggest the feasibility and usefulness of targeted sequencing to identify low frequency mutations and detect additional mutations that are helpful to understand the clinical outcomes of the patients in each group.

For patients with *EGFR*-mutant NSCLC, *EGFR* TKIs are found to increase response rates and survival time.^{16,17} In concordance with these data, *EGFR* mutations were associated with significant improvements of PFS and OS compared to *EGFR* wild-type patients, because most patients were treated with *EGFR* TKIs. Despite these benefits of *EGFR* TKIs, not all patients respond to treatment and most *EGFR*-mutant NSCLC patients develop acquired resistance.

Tumor suppressor *TP53* mutations are frequently detected in most human cancers. *TP53* was also the most commonly altered gene in this study, and this result is consistent with those of previous studies.^{20,21} *TP53* was concurrently mutated with many other genes such as *EGFR* and *KRAS* in this study, perhaps due to the high frequency of *TP53* mutations found in our samples. Whereas the frequency of *TP53* mutation is well known, therapeutic options based on this alteration are scarce and controversial

in patients with lung cancer. A previous study on advanced NSCLC found an association between *TP53* mutation and shorter median OS, but another study, on the other hand, reported no association between *TP53* mutation and survival.^{22,23}

Our data also identified *KDR* Q472H polymorphism in 103 patients (31 homozygotes and 72 heterozygotes). *KDR* Q472H has been reported to increase tumor microvasculature and shown to mediate vascular endothelial growth factor receptor 2 phosphorylation in NSCLC.²⁴ Furthermore, *KDR* Q472H had a higher proliferative and invasive capacity in melanoma.²⁵ Although we did not find a significant correlation between *KDR* Q472H and survival in EGFR TKI- or chemotherapy-treated NSCLC patients, the prognostic value of *KDR* Q472H should be different after treatment with vascular endothelial growth factor pathway inhibitors.

Although *KRAS* mutations are the most common oncogenic driver, there are some ethnic differences. The frequency of *KRAS* mutations in Asian is 5%–15%. In addition, *KRAS* mutations usually occur in *EGFR* wild-type tumors.^{18,26} In this study, we detected 13 *KRAS* mutations (8%) and five concurrent *KRAS/EGFR* mutations (3.0%) via NGS. In these five patients, three patients, who were clinically confirmed to have *EGFR* L858R mutations, received EGFR TKI (gefitinib) treatment with partial response or progression of the disease. Other two patients were treated with chemotherapy and showed 0.8 and 9.3 months of PFS. Although the prognostic effect of *KRAS* mutations was not clear due to small sample size, these results suggest that *KRAS* mutation test using NGS platform may help determine the appropriate therapy for NSCLC patients.

Recent studies have demonstrated that mutations in EGFR-downstream genes such as *PIK3CA*, *PTEN*, and *STK11* are associated with *de novo* resistance to EGFR TKI.²⁷ Furthermore, *PIK3CA* and *PTEN* mutations may result in resistance to EGFR TKI.⁴ In this study, we found *PIK3CA* and *PTEN* mutations in seven (4.3%) and four (2.5%) patients, respectively. Concurrent *EGFR/PIK3CA* mutations were detected in three patients. All of them received EGFR TKI (2 gefitinib and 1 erlotinib) treatment and showed partial response with different range of PFS (6.6–21.3 months). Concurrent *EGFR/PTEN* mutations were found in two patients and one received EGFR TKI (afatinib) treatment with partial response (PFS, 8.1 months). However, neither *PIK3CA* nor *PTEN* mutation status alone had significant effects on PFS and OS in the *EGFR*-mutant group. In *STK11*, we identified mutations in 20 patients (19 F354L and 1 D176G). *STK11* encodes the serine/threonine protein kinase and is part of the STK11/AMPK/mammalian target of rapamycin

signaling pathway. *STK11* mutations were commonly found, and inactivation of *STK11* is known to promote tumorigenesis and is associated with worse survival outcome.^{20,28} The overall rate of *STK11* mutations (12.3%) was slightly lower than that indicated by The Cancer Genome Atlas (17%).¹⁸ This discrepancy can be explained by the origin of the population; *STK11* mutations have been reported to be associated with European ancestry.^{19,21} *STK11* mutations often coexist with *KRAS* mutations and have confounding prognostic significance.^{29,30} However, in this study, we found only one concurrent *KRAS/STK11* (G12A/D176G) mutation. This patient received chemotherapy (AP: doxorubicin, cisplatin) and showed partial response with 4.4 months of PFS. A recent study reported that pathogenic *STK11* F354L mutations had been recurrently identified in three EGFR TKI non-responders, while these mutations had not been found in EGFR TKI responders.²⁰ In our study, seven *STK11* F354L mutations were recurrently found in EGFR TKI-treated patients. Among them, six (treated with gefitinib and erlotinib) showed partial response (PFS, 4.1 to 17.8 months) and one (treated with afatinib) showed stable disease (PFS, 19.3 months). This discrepancy may be due to the small sample size of *STK11* mutant patients. Thus, more research is required to identify the clinical implications of *STK11* mutations.

Our study has a few limitations. Our analysis relied on targeted sequencing to investigate genetic alterations and thus the genes selected in this study may only explain a portion of the total genetic alterations. The NGS platform used in this study (AmpliSeq Cancer Hotspot Panel) detected only SNVs, and thus it was impossible to detect copy number variations (CNVs) and translocations. Furthermore, other novel genetic or epigenetic alterations may have been missed. Most tumor samples were acquired from small biopsy samples, and thus there were not enough tissue available for more comprehensive analysis. Therefore, there is a need for new NGS platforms to simultaneously detect SNVs, CNVs, and translocations, even with small amounts of tissue samples. In addition, functional effects of the detected mutations were not evaluated *in vitro*.

Our results demonstrate that targeted sequencing using NGS is feasible for mutation profiling of small biopsy samples in NSCLC. We also demonstrated previously unappreciated mutations, enabling further refinements of subclassification for the prediction of therapeutic effects. In conclusion, we suggest that more comprehensive genomic characterizations of NSCLC with small biopsy samples would reveal coexisting alterations that might influence the efficacy of therapy.

Conflicts of Interest

No potential conflict of interest relevant to this article was reported.

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